



SRP

SRP SINGAPORE 2019 AWARDS METHODOLOGY

Survey: 13th January - 28th February 2019



HAVE YOUR SAY AND TAKE PART IN THE SURVEY

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Categories

www.structuredretailproducts.com's (SRP's) Asia-Pacific (ex.China) rankings acknowledge the best Manufacturers, Distributors and Service providers in the following categories:

MANUFACTURERS:	DISTRIBUTORS/ PRIVATE BANKS:	SERVICE PROVIDERS:	OTHER AWARDS:
Best House, Asia Pacific	Best Distributor, Asia Pacific	Best Index Provider	Best Educational Initiative
Best House, Equities	Best Performance, Asia Pacific	Best Issuance Platform	Best Structured Product Technological Solution
Best House, Asian Equities	Best Distributor, South and Southeast Asia	Best Technology Provider	Best Insurance Deal
Best House, US Equities	Best Performance, South and Southeast Asia	Best Pricing and Risk Analytics Provider	Most Innovative Index
Best House, Foreign Exchange	Best Private Bank, Asia Pacific	Best Law Firm	Best Wealth Manager
Best House, Interest Rates	Best Private Bank, South and Southeast Asia	Best SP and Derivatives Exchange	Personality of the Year
Best House, Credit	Best Capital Protected Distributor, Asia Pacific	Best Proprietary Index Provider	Deal of the Year
Best House, South and Southeast Asia	Best Yield Enhancement Distributor, Asia Pacific	Best Smart Beta Index Provider	
Best House, Japan		Best Warrant Provider	
Best House, South Korea			
Best House, Hong Kong			
Best House, Taiwan			

SCOPE OF DATA:

SRP defines structured products as investment vehicles that provide risk-and-return profiles with returns referenced to one or more underlying assets.

AWARD METHODOLOGY - GENERAL RULES:

- SRP will conduct a comprehensive survey of the market with institutions associated with structured products between January 13th, 2019 and February 28th, 2019.
- Respondents will vote for various awards under the categories of manufacturers, distributors, service providers and other awards, whose results will be independently verified by SRP.
- Distributor and private banking awards are based on SRP's proprietary database and submission of product data by the candidates between February 1st, 2018 and January 31st, 2019.
- Manufacturer, Private Bank, Service Providers and Other Awards will involve a 400-word, editorial submission with winners determined by a panel of judges.

Management & FinTech

“SRP conference has been an annual event for our sales team to network with all the buy side and competitors. Getting to know new contacts and catching up with clients. Informative panel discussions are something not to be missed.”

COMMERZBANK

“Excellent event, well organised, informative and excellent to better understand the market and products”

RENOVESE GROUP

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MANUFACTURER AWARDS

The top 5 manufacturers rated by the survey respondents will be shortlisted and have the chance to win the award.

The winner will be chosen from shortlist according to two factors:

SURVEY OF MARKET

Buy-side respondents will rate each manufacturer across all asset classes on qualitative factors:

- Price competitiveness (25% weighting)
- Innovation and structuring capability (25% weighting)
- Client Service pre and post-trade (25% weighting)
- Sales Volume (25% weighting)

EDITORIAL SUBMISSION

Each shortlisted manufacturer will need to submit up to a 400-word editorial application.

The winner will be selected by an independent panel of judges convened and chaired by SRP.

	SURVEY OF MARKET	EDITORIAL
Best House, Asia Pacific	√	√
Best House, Equities	√	√
Best House, Asian Equities	√	√
Best House, US Equities	√	√
Best House, Foreign Exchange	√	√
Best House, Interest Rates	√	√
Best House, Credit	√	√
Best House, South & Southeast Asia	√	√
Best House, Japan	√	√
Best House, South Korea	√	√
Best House, Hong Kong	√	√
Best House, Taiwan	√	√

DISTRIBUTOR/PRIVATE BANK AWARDS

DISTRIBUTOR

SRP Database

Winners are calculated by the annual sales (50%) and performance data (50%) covered in SRP's proprietary database.

Submission of Product Data

Candidates will supply all product brochures/term sheets with full sales and performance data per product, distributed between February 1st, 2018 and January 31st 2019.

PRIVATE BANKS

Editorial

The Best Private Bank award requires a 400-word submission detailing product innovation, understanding client needs and aftersales service, as well as relevant product data.

Submission of Product Data

Candidates will supply product brochures/term sheets.

CATEGORIES	SRP DATABASE	SUBMISSION OF PRODUCT DATA	EDITORIAL
Best Distributor, Asia Pacific	√	√	
Best Performance, Asia Pacific	√	√	
Best Distributor, South and Southeast Asia: India, Indonesia, Malaysia, Philippines, Singapore, Thailand, Vietnam	√	√	
Best Performance, South and Southeast Asia	√	√	
Best Private Bank, Asia Pacific	√	√	√
Best Private Bank, South and Southeast Asia: India, Indonesia, Malaysia, Philippines, Singapore, Thailand, Vietnam	√	√	√
Best Capital Protected Distributor, Asia Pacific	√	√	
Best Yield Enhancement Distributor, Asia Pacific	√	√	

SERVICE PROVIDERS AWARDS

Survey of Market

All respondents will vote for one candidate in each category based on various criteria. The winners are determined by the total number of votes they receive.

Editorial

Each shortlisted candidate will need to submit a 400-word application to be measured against the criteria. The winner will be selected by an independent panel of judges convened and chaired by **SRP**.

CATEGORIES	SURVEY OF MARKET	EDITORIAL	KEY CRITERIA
Best Index Provider	√	√	Innovation, Price competitiveness, Understanding client's needs
Best Issuance Platform	√	√	Technology innovation, Post-trade service, Breath of coverage, User interface.
Best Technology Provider	√	√	Technology innovation, Infrastructure, Trade execution, User interface
Best Pricing and Risk Analytics Provider	√	√	Technology innovation, Infrastructure, Trade execution, User interface
Best Law Firm	√	√	Depth of knowledge, Market Responsiveness, Explain complex concepts
Best SP and Derivatives Exchange	√	√	Technology innovation, Infrastructure, Trade execution, User interface
Best Proprietary Index Provider	√	√	Product Innovation, price competitiveness, customer satisfaction
Best Smart Beta Index Provider	√	√	Product Innovation, price competitiveness, customer satisfaction
Best Warrant Provider	√	√	Product Innovation, price competitiveness, customer satisfaction

OTHER AWARDS

Survey of Market

All respondents will vote for one candidate in each category based on various criteria. The winners are determined by the total number of votes they receive.

Editorial

Each shortlisted candidate will need to submit a 400-word application to be measured against the criteria. The winner will be selected by an independent panel of judges convened and chaired by **SRP**.

CATEGORIES	SURVEY OF MARKET	EDITORIAL	KEY CRITERIA
Best Educational Initiative		√	Comprehensiveness, Clarity, Accessibility of educational material
Best Structured Product Technological Solution		√	Technology innovation, Infrastructure, Market impact
Best Insurance Deal		√	Product size, Product performance, Customer satisfaction
Most Innovative Index		√	Product innovation, Product performance, Customer satisfaction
Best Wealth Manager		√	Peer recognition, Integrity, Customer satisfaction
Personality of the Year	√		Peer recognition, Long-term industry initiatives, Integrity
Deal of the Year		√	Product size, Product performance, Customer satisfaction

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